



GREYWOLF EXECUTION PARTNERS, INC.
40 Wall Street, 17th Floor
New York, NY 10005

CUSTOMER ACCOUNT QUESTIONNAIRE

Pursuant to NYSE Rule 405 and
U.S. Patriot Act

1. Customer Name: _____
2. Address: _____

3. Date of Inc./Org./Estab.: _____
4. In what state is your firm domiciled? _____
5. Tax ID Number _____
6. Member NYSE No _____ Yes _____ CRD/BD# _____
Member NASD No _____ Yes _____ CRD/BD# _____
Member FSA No _____ Yes _____
Registered Investment Advisor No ___ Yes ___ REG# _____
Other _____
7. Type of Firm: Corporation _____
General Partnership _____
Limited Partnership _____
Limited Liability Company _____
Other (please name) _____
8. Dollar Amount Under Management: _____
9. Investment Objective: _____
10. Compliance Officer: _____
Tel: _____
Fax: _____
E-mail _____

11. **TRADING AUTHORIZATION:** Listed below are the authorized traders designated, as evidenced by my signature below, to place trades on our behalf with GREYWOLF EXECUTION PARTNERS, INC. We agree to update and revise this list as required:

Print Name

Print Name

Print Name

Print Name

Print Name

Print Name

DOCUMENTATION REQUIRED TO OPEN ACCOUNT

Investment Advisors only:

Please provide and Investment Advisor Letter pursuant to the Investment Advisor Act of 1940. (Should you need language please contact us).

****Skip to Signature Line****

Corporations:

1. Certificate of Incorporation
2. Corporate Resolution (Form attached)
3. SIA Form 151, if utilizing a prime broker (Please contact us for the required form.)

Partnerships and Limited Liability Companies

1. Executed Partnership Agreement or Articles of Organization
2. Executed Certificate of Limited Partnership (Limited Partnerships only)
3. Executed Certificate of Formation (Limited Liability Companies only)

4. SIA Form 151, if utilizing a prime broker (Please contact for the required form.)

By signing below, _____ acknowledges the receipt of the following from Greywolf Execution Partners, Inc.: Privacy Notice, Summary of Business Continuity Plan

Customer Name

Customer Name

By: _____
Authorized Signatory

Print name and title: _____

Dated: _____

Supervisory Approval. _____
Greywolf Execution Partners, Inc.